

IFSL Sinfonia Junior Individual Savings Account (JISA) Stocks & Shares JISA Application Form

Need any help?
Please call our
Client Support
team on
0808 178 9322

Please complete using BLOCK CAPITALS and return the completed form together with your cheque if applicable made payable to **IFSL** to the following address:
IFSL (Sinfonia), Marlborough House, 59 Chorley New Road, Bolton BL1 4QP.

Office use only – Marketing code

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Section 1 – Registered contact's details – Please fill in the details of the holder on the account.

Title (Mr/Mrs/Miss/Ms/Other)

Surname

Forename(s)

Permanent residential address

Postcode

Telephone number

Date of Birth

Email address

Existing account number (if any)

Section 2 – Child's details – Please fill in the details of the holder on the account.

Title (Mr/Mrs/Miss/Ms/Other)

Surname

Forename(s)

Permanent residential address

Postcode

Telephone number

Date of Birth

Email address

Existing account number (if any)

Does the child have a National Insurance number? No Yes please enter it here

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Section 3 – Account details – Please select one or more of the following options:

Option 1 – Invest in a Stocks & Shares JISA

- I wish to invest in a Stocks & Shares JISA for the 2018/2019 tax year and each subsequent year, until further notice.
I am aware the total investment must not exceed £4,260 or £355 per month.

Option 2 – Transfer existing Stocks & Shares JISA

- I wish to transfer the whole of the child's Stocks & Shares JISA to a new JISA.

Option 3

- I wish to transfer the whole of the current year's Cash JISA
 I wish to transfer all previous years' Cash JISA subscriptions to a Stocks and Shares JISA
 I wish to transfer £ or % of my Cash JISA holding for previous years to a Stocks & Shares JISA

Option 4

- I wish to transfer a Child Trust Fund

Section 4 – Professional Adviser details

Adviser's stamp

Agent name

Agency number

FCA number

Advice given

Verification of Identity Form

By completing this section you are agreeing to the terms and conditions outlined in the Terms of Business for Intermediaries. A copy of this document can be found in the Supplementary Information Document which is available from www.ifslfunds.com

Section 5 – Investment details – Please fill in the cash amount up to the maximum permitted in any one tax year
Please ensure that you indicate your choice of share class and share type by ticking the appropriate box.

Fund	Share Type	Lump Sum (£) Min. £1,000	Regular Monthly Contribution (£) Min. £25 per month	Percentage (%) of existing JISA transfer amount
IFSL Sinfonia Income Portfolio	Accumulation only			
IFSL Sinfonia Income and Growth Portfolio	Accumulation only			
IFSL Sinfonia Cautious Managed Portfolio	Accumulation only			
IFSL Sinfonia Balanced Managed Portfolio	Accumulation only			
IFSL Sinfonia Adventurous Growth Portfolio	Accumulation only			

For monthly savings, please complete the Direct Debit mandate and select one of the following collection dates.

Direct Debit collection date 1st of the month 15th of the month

N.B. If you have selected to subscribe by Direct Debit but do not select a collection date, we will default your collection to the 1st of every month.

Share Class Selection – Please select one of the following options:

Annual Management Charge 0.65% (A)

Other (please specify)

Section 6 – Details of JISA to be transferred

Current year JISA

I wish to transfer my current year's JISA subscriptions* Yes No

**You must transfer your current year subscription in whole.*

Previous years

I wish to transfer the following %/£ of my previous years' JISA subscriptions:

Please transfer % or £ of my JISA Holding

Name of JISA Manager

Address of JISA Manager

Postcode

JISA account number

Personal Details

Title (Mr/Mrs/Miss/Ms/Other)

Surname

Forename(s)

Personal Details

I hereby instruct my current JISA Manager, to transfer the JISA(s) referred to in this section, to IFSL (my new Manager) by liquidating the assets and forwarding the proceeds to IFSL. This request is subject to IFSL's acceptance of my transfer application.

Signature

Date

Section 6 – Details of JISA to be transferred

Current year JISA

I wish to transfer my current year's JISA subscriptions* Yes No

**You must transfer your current year subscription in whole.*

Previous years

I wish to transfer the following %/£ of my previous years' JISA subscriptions:

Please transfer % or £ of my JISA Holding

Name of JISA Manager

Address of JISA Manager

Postcode

JISA account number

Personal Details

Title (Mr/Mrs/Miss/Ms/Other)

Surname

Forename(s)

Personal Details

I hereby instruct my current JISA Manager, to transfer the JISA(s) referred to in this section, to IFSL (my new Manager) by liquidating the assets and forwarding the proceeds to IFSL. This request is subject to IFSL's acceptance of my transfer application.

Signature

Date

Section 6 – Details of JISA to be transferred

Current year JISA

I wish to transfer my current year's JISA subscriptions* Yes No

**You must transfer your current year subscription in whole.*

Previous years

I wish to transfer the following %/£ of my previous years' JISA subscriptions:

Please transfer % or £ of my JISA Holding

Name of JISA Manager

Address of JISA Manager

Postcode

JISA account number

Personal Details

Title (Mr/Mrs/Miss/Ms/Other)

Surname

Forename(s)

Personal Details

I hereby instruct my current JISA Manager, to transfer the JISA(s) referred to in this section, to IFSL (my new Manager) by liquidating the assets and forwarding the proceeds to IFSL. This request is subject to IFSL's acceptance of my transfer application.

Signature

Date

Section 7 – Details of Child Trust Fund to be transferred

The Child Trust Fund must be transferred in full and the Child Trust Fund closed once the investments/cash have been passed to the JISA Manager.

Name of Child Trust Fund Provider

Address of Child Trust Fund Provider

Postcode

Unique Child Trust Fund Reference Number

Personal Details

Title (Mr/Mrs/Miss/Ms/Other)

Surname

Forename(s)

Personal Details

I hereby instruct my current Child Trust Fund Provider, to transfer the Child Trust Fund referred to in this section, to IFSL (my new Manager) by liquidating the assets and forwarding the proceeds to IFSL. This request is subject to IFSL's acceptance of my transfer application.

Signature

Date

Please complete using BLOCK CAPITALS and return the completed form together with your cheque made payable to:
IFSL to the following address: IFSL (Sinfonia), Marlborough House, 59 Chorley New Road, Bolton BL1 4QP

Section 8 – Declaration and authorisation – Please sign the declaration below. You must, before you invest, be provided with the most up-to-date Key Investor Information Document (KIID) and Supplementary Information Document (SID) for the specific share class(es) of the fund(s) in which you wish to invest. You should also ensure you have read the JISA Terms & Conditions. Copies of these documents are available from www.ifslfunds.com or can be requested as a paper copy by calling 0808 178 9321 or writing to IFSL, Marlborough House, 59 Chorley New Road, Bolton BL1 4QP.

I declare that:

- I declare that I am 16 years of age or over. The child is resident in the United Kingdom (UK), or is a UK Crown servant, a dependant of a UK Crown servant or is married to or in a civil partnership with a UK Crown servant;
- I confirm that the child is not a US Person (either a resident or citizen) and acknowledge that US Persons cannot hold shares/units in the fund(s);
- I am the child/I have parental responsibility for that child;
- If the child holds a Child Trust Fund it must be transferred as part of this application;
- I will be the registered contact for the Junior ISA;
- I am a registered contact for the child's Child Trust Fund;
- The child named is the beneficial owner of the account investments;
- I apply to subscribe for a Junior Stocks & Shares ISA for the tax year and amount, stated overleaf and each subsequent year;
- I have not subscribed and will not subscribe to another Junior Stocks & Shares ISA of this type for this child;
- I am not aware that this child has another Junior ISA of this type. I am not aware of other Junior ISA subscriptions that will result in this child exceeding the annual limit;
- I will not knowingly make subscriptions to Junior ISAs for this child that will result in the subscription limit being exceeded;
- The following stakeholder CTF features might not be included in a Junior ISA
 - Lifestyling from age 15
 - Minimum subscriptions of £10 allowed
 - Annual charge cap of 1.5%

If you are applying to transfer a stakeholder CTF, tick this box to confirm that you understand this and wish to proceed

- If the transfer from the CTF is not successful, any Junior ISA that has been opened on a provisional basis to accept the transfer will be invalid, and any subscriptions to the provisional Junior ISA will be returned. The CTF will remain intact;
- I hereby authorise Investment Fund Services Limited: (i) to hold the child's subscription, Junior ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash. (ii) to make on behalf of the child, any claims to relief from tax in respect of Junior ISA investments;
- I acknowledge that I have read and understood the IFSL Sinfonia Junior ISA Terms & Conditions and agree to be bound to these. To the best of my knowledge and belief, all statements made in this application form are true and correct and I shall inform the plan manager immediately of any changes herein; and
- I declare that I have read and understood the relevant Key Investor Information Document (KIID), the Supplementary Information Document (SID) and the Investment Fund Services Limited Terms and Conditions. I understand that these documents form part of the terms and conditions of my investment in the fund(s).

We are committed to processing the personal data that you provide to us in line with the latest data protection and data privacy legislation in force within the United Kingdom. We will use your information in order to provide the services detailed in this Application Form, to administer your investments and for the purposes of fraud prevention. Our Privacy Statement in the Terms and Conditions gives more information about our use of your personal data, the processing of that personal data and your rights concerning personal data.

Please tick this box if you would like us to use your personal data in order to keep you informed about investment products and services that you may be interested in:

Applicant signature

Date

Investment Fund Services Limited Registered in England No. 06110770 (Authorised Corporate Director for IFSL Sinfonia OEIC). Authorised and regulated by the Financial Conduct Authority.

This document has been issued by Investment Fund Services Limited.

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Sinfonia Asset Management is not authorised or regulated by the Financial Conduct Authority.

Instruction to your Bank or Building Society to pay by Direct Debit

Please fill in the whole form using a ball point pen and send it to:

Investment Fund Services Ltd Re Sinfonia
Marlborough House
59 Chorley New Road
Bolton
BL1 4QP

Service User Number

2 4 6 7 4 5

Name(s) of Account Holder(s)

Reference Number

Bank/Building Society account number

Branch Sort Code

Name and full postal address of your Bank or Building Society

To: The Manager	Bank/Building Society
Address	
<input type="text"/>	
Postcode	

Instruction to your Bank or Building Society

Please pay Investment Fund Services Ltd Re Sinfonia Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with Investment Fund Services Ltd Re Sinfonia and, if so, details will be passed electronically to my Bank/Building Society.

Signature(s)

Date

Banks and Building Societies may not accept Direct Debit Instructions for some types of account

This guarantee should be detached and retained by the Payer

The Direct Debit Guarantee



- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits.
- If there are any changes to the amount, date or frequency of your Direct Debit Investment Fund Services Ltd Re Sinfonia will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request Investment Fund Services Ltd Re Sinfonia to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by Investment Fund Services Ltd Re Sinfonia or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society.
 - If you receive a refund you are not entitled to, you must pay it back when Investment Fund Services Ltd Re Sinfonia asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.