

IFSL Sinfonia ISA

Stocks & Shares ISA Application Form

Need any help?
 Please call our Client Support team on
0808 178 9322

Please complete using BLOCK CAPITALS and return the completed form together with your cheque if applicable made payable to **IFSL** to the following address:
IFSL (Sinfonia), Marlborough House, 59 Chorley New Road, Bolton BL1 4QP.

Office use only – Marketing code	<table border="1" style="width: 100%; height: 20px;"> <tr> <td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td> </tr> </table>																												

Section 1 – Personal details – Please fill in the details of the holder on the account.

Title (Mr/Mrs/Miss/Ms/Other)

Surname

Forename(s)

Permanent residential address

Postcode

Telephone number Date of Birth

Email address

National Insurance number

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If you do not have a National Insurance Number please tick this box.

You should be able to find your NI Number on a payslip, form P45 or P60, a letter from HM Revenue and Customs, a letter from the DSS, or a pension book.

Existing account number (if any)

Important: Please select one or both of the following options:

I apply to subscribe for a Stocks & Shares ISA for the tax year 2018/2019 and each subsequent year until further notice. I am aware the total investment must not exceed £20,000 or £1,666.67 per month.

Please be aware that this statement, recommended by HM Revenue & Customs, enables you to invest in future tax years without the need for a new application form. It does not oblige you to invest with IFSL in subsequent tax years unless you decide to do so.

I apply to transfer my existing ISA(s) to an IFSL Sinfonia ISA.

Section 2 – Professional Adviser details

Adviser’s stamp

Agent name

Agency number

FCA number

Advice given

Verification of Identity Form

By completing this section you are agreeing to the terms and conditions outlined in the Terms of Business for Intermediaries. A copy of this document can be found in the Supplementary Information Document which is available from www.ifslfunds.com

Section 3 – Investment details – Please fill in the cash amount up to the maximum permitted in any one tax year
Please ensure that you indicate your choice of share class and share type by ticking the appropriate box.

Fund	Share Type	Lump Sum (£) Min. £1,000	Regular Monthly Contribution (£) Min. £25 per month	Percentage (%) of existing ISA transfer amount
IFSL Sinfonia Income Portfolio	Income <input type="checkbox"/>			
	Accumulation <input type="checkbox"/>			
IFSL Sinfonia Income and Growth Portfolio	Income <input type="checkbox"/>			
	Accumulation <input type="checkbox"/>			
IFSL Sinfonia Cautious Managed Portfolio	Accumulation only			
IFSL Sinfonia Balanced Managed Portfolio	Accumulation only			
IFSL Sinfonia Adventurous Growth Portfolio	Accumulation only			

For monthly savings, please complete the Direct Debit mandate and select one of the following collection dates.

Direct Debit collection date 1st of the month 15th of the month

N.B. If you have selected to subscribe by Direct Debit but do not select a collection date, we will default your collection to the 1st of every month.

Share Class Selection – Please select one of the following options:

Annual Management Charge 0.65% (A) Other (please specify)

Section 4 – Income Shares – Please complete this section if you are purchasing Income Shares.

Please note income payments are made by direct credit to your bank/building society and cheques cannot be sent.

If you do not complete this section, we will automatically purchase Accumulation Shares on your behalf.

Name of Bank/Building Society	<input type="text"/>		
Address	<input type="text"/>		
	<input type="text"/>		
	<input type="text"/>	Postcode	<input type="text"/>
Name of account holder	<input type="text"/>		
Account number	<input type="text"/>	Sort Code	<input type="text"/> <input type="text"/> <input type="text"/>
Signature	<input type="text"/>	Date	<input type="text"/>

Section 5 – Accumulation Shares – Regular withdrawals

If you hold Accumulation Shares and wish to withdraw funds on a regular basis, please sign and complete the boxes below.

Regular withdrawal amount	£ <input type="text"/>	(Minimum £50 per fund)
Frequency	<input type="button" value="Monthly"/> <input type="button" value="Quarterly"/> <input type="button" value="Half-Yearly"/> <input type="button" value="Annually"/>	(Delete as applicable)
Name of Bank/Building Society	<input type="text"/>	
Address	<input type="text"/>	
	<input type="text"/>	
	<input type="text"/>	Postcode <input type="text"/>
Name of account holder	<input type="text"/>	
Account number	<input type="text"/>	Sort Code <input type="text"/> <input type="text"/> <input type="text"/>

I hereby authorise IFSL to sell shares on my behalf to raise the above sum of money with the above frequency as specified in the Supplementary Information Document.

If you hold more than one fund, please indicate here which fund(s) you wish to sell shares from:

<input type="text"/>
<input type="text"/>

Signature	<input type="text"/>	Date	<input type="text"/>
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Section 6 – Details of ISA to be transferred

Current year ISA

I wish to transfer my current year's ISA subscriptions* Yes No

**You must transfer your current year subscription in whole.*

Previous years

I wish to transfer the following %/£ of my previous years' ISA subscriptions:

Please transfer % or £ of my ISA Holding

Name of ISA Manager

Address of ISA Manager

Postcode

ISA account number

Personal Details

Title (Mr/Mrs/Miss/Ms/Other)

Surname

Forename(s)

Please note IFSL is not able to accept the ISA insurance component.

Personal Details

I hereby instruct my current ISA Manager, to transfer the ISA(s) referred to in this section, to IFSL (my new Manager) by liquidating the assets and forwarding the proceeds to IFSL. This request is subject to IFSL's acceptance of my transfer application.

Signature

Date

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**You must transfer your current year subscription in whole.*

Previous years

I wish to transfer the following %/£ of my previous years' ISA subscriptions:

Please transfer % or £ of my ISA Holding

Name of ISA Manager

Address of ISA Manager

Postcode

ISA account number

Personal Details

Title (Mr/Mrs/Miss/Ms/Other)

Surname

Forename(s)

Please note IFSL is not able to accept the ISA insurance component.

Personal Details

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Date

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Current year ISA

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**You must transfer your current year subscription in whole.*

Previous years

I wish to transfer the following %/£ of my previous years' ISA subscriptions:

Please transfer % or £ of my ISA Holding

Name of ISA Manager

Address of ISA Manager

Postcode

ISA account number

Personal Details

Title (Mr/Mrs/Miss/Ms/Other)

Surname

Forename(s)

Please note IFSL is not able to accept the ISA insurance component.

Personal Details

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Date

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Previous years

I wish to transfer the following %/£ of my previous years' ISA subscriptions:

Please transfer % or £ of my ISA Holding

Name of ISA Manager

Address of ISA Manager

Postcode

ISA account number

Personal Details

Title (Mr/Mrs/Miss/Ms/Other)

Surname

Forename(s)

Please note IFSL is not able to accept the ISA insurance component.

Personal Details

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Signature

Date

Please complete using BLOCK CAPITALS and return the completed form together with your cheque made payable to:
IFSL to the following address: IFSL (Sinfonia), Marlborough House, 59 Chorley New Road, Bolton BL1 4QP

Section 7 – Declaration and authorisation – Please sign the declaration below. You must, before you invest, be provided with the most up-to-date Key Investor Information Document (KIID) and Supplementary Information Document (SID) for the specific share class(es) of the fund(s) in which you wish to invest. You should also ensure you have read the ISA Terms & Conditions. Copies of these documents are available from www.ifslfunds.com or can be requested as a paper copy by calling 0808 178 9321 or writing to IFSL, Marlborough House, 59 Chorley New Road, Bolton BL1 4QP.

I declare that:

- I declare that I have read and understood the relevant Key Investor Information Document (KIID) and the Supplementary Information Document (SID). I understand that these documents form part of the terms and conditions of my investment in the fund(s);
 - All subscriptions made, and to be made, belong to me;
 - I am 18 years of age or over;
 - I confirm that I am not a US Person (either a resident or citizen) and acknowledge that US persons cannot hold shares/units in the fund(s);
 - I have not subscribed and will not subscribe more than the overall subscription limit in total to a Cash ISA and a Stocks & Shares ISA in the same tax year (applicable only if you have ticked in Section 1 that you would like to subscribe to a Stocks & Shares ISA);
 - I have not subscribed and will not subscribe to another Stocks & Shares ISA in the same tax year that I subscribe to this Stocks & Shares ISA;
 - The IFSL Sinfonia Fund and Share Class has been recommended by the Professional Adviser, if appropriate;
 - I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform IFSL if I cease to be so resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties (applicable only if you have ticked in Section 1 that you would like to subscribe to a Stocks & Shares ISA);
 - The information supplied in this application is correct to the best of my knowledge.
 - I will inform you in writing immediately of any changes in my circumstances; and
 - I understand that this application is subject to acceptance by the ISA Manager.
 - I understand that you may require additional information from me in accordance with the money laundering regulations and I acknowledge that electronic data sources may be used to check my identity under the money laundering regulations.
- I authorise IFSL to: (1) hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments; (2) to make on my behalf any claims to relief from tax in respect of my ISA investments; and (3) on my written request transfer or pay to me the ISA investments, interest, distributions and any other rights or proceeds in respect of such investments, or any cash held within the ISA.

We are committed to processing the personal data that you provide to us in line with the latest data protection and data privacy legislation in force within the United Kingdom. We will use your information in order to provide the services detailed in this Application Form, to administer your investments and for the purposes of fraud prevention. Our Privacy Statement, available from www.ifslfunds.com, gives more information about our use of your personal data, the processing of that personal data and your rights concerning personal data.

Please tick this box if you would like us to use your personal data in order to keep you informed about investment products and services that you may be interested in:

Applicant signature

Date

Investment Fund Services Limited Registered in England No. 06110770 (Authorised Corporate Director for IFSL Sinfonia OEIC). Authorised and regulated by the Financial Conduct Authority.

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Sinfonia Asset Management is not authorised or regulated by the Financial Conduct Authority.

— Fund Services —

Instruction to your Bank or Building Society to pay by Direct Debit

Please fill in the whole form using a ball point pen and send it to:

Investment Fund Services Ltd (Sinfonia)
 Marlborough House
 59 Chorley New Road
 Bolton
 BL1 4QP

Service User Number

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Reference Number

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Name(s) of Account Holder(s)

Bank/Building Society account number

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Branch Sort Code

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Name and full postal address of your Bank or Building Society

To: The Manager	Bank/Building Society
Address	
Postcode	

Instruction to your Bank or Building Society

Please pay Investment Fund Services Ltd (Sinfonia) Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with Investment Fund Services Ltd (Sinfonia) and, if so, details will be passed electronically to my Bank/Building Society.

Signature(s)

Date

Banks and Building Societies may not accept Direct Debit Instructions for some types of account

This guarantee should be detached and retained by the Payer

The Direct Debit Guarantee



- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit Investment Fund Services Ltd (Sinfonia) will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request Investment Fund Services Ltd (Sinfonia) to collect a payment, confirmation of the amount and date will be given to you at the time of the request
- If an error is made in the payment of your Direct Debit, by Investment Fund Services Ltd (Sinfonia) or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society
 - If you receive a refund you are not entitled to, you must pay it back when Investment Fund Services Ltd (Sinfonia) asks you to
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.